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23641

PATENT TRADEMARK OFFICE

**BARNES & THORNBURG**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(219) 423-9440

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Form: PTO/SB/21 (6-98)

**Customer No.:** 23641

*Group*

*Art Unit:* 2761

*Attorney*

*Docket No.:* 37168/82045

*Applicant:* Jeffrey K. Dellinger, et al.

*Invention:* METHOD AND APPARATUS FOR  
PROVIDING RETIREMENT INCOME  
BENEFITS

*Serial No:* 09/406,290

*Filed:* September 24, 1999

*Examiner:* Unknown

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231

on June 19, 2001

Bobby B. Gillenwater

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JUN 26 2001

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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**ENCLOSURES (check all that apply)**

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ **Supplemental Information Disclosure Statement**

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-relating Papers

☐ Petition Routing Slip (PTO/SB/69) and  
Accompanying Petition



- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ **Additional Enclosure(s)**  
(please identify below):

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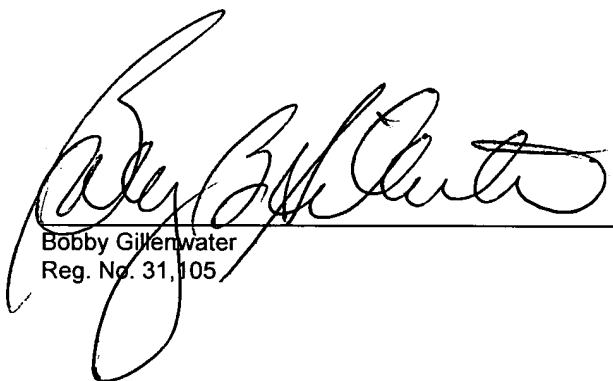
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**Receipt Postcard**  
**Copies of Disclosures (14 patents; and 1**  
**"Other Prior Art").**

Remarks:

Date: June 19, 2001

FWDS01 BBG 140205



Bobby Gillenwater  
Reg. No. 31,105

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants and the undersigned hereby disclose  
to the Examiner the reference listed on the attached Form PTO/SB/08A.

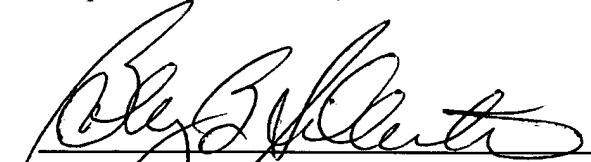
This Supplemental Information Disclosure Statement is being filed more than three months  
of the U.S. filing date BUT before the mailing date of the first Office Action on the merits. No  
certification or fee is required.

No representation is intended that a complete search has been made of the prior art, or that prior art references other than those listed on the attached form are not available. The citation of this reference shall not be construed to be an admission that the information cited in the statement is considered material to patentability of the subject invention.

Please credit any overpayments or charge any additional fees to the Deposit Account of Barnes & Thornburg, Account Number 02-1010.



Respectfully submitted,

  
Bobby B. Gillenwater  
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(219) 423-9440